

ANTIMICROBIAL POLYMER**BACKGROUND OF THE INVENTION****1. Field of the Invention**

[0001] This invention relates to a polymeric composition having antimicrobial properties and a process for rendering the surface of a substrate antimicrobial. The polymeric composition is a crosslinked chemical combination of (i) a polymer having amino group-containing side chains along a backbone forming the polymer, (ii) an antimicrobial agent selected from quaternary ammonium compounds, gentian violet compounds, substituted or unsubstituted phenols, biguanide compounds, iodine compounds, and mixtures thereof, and (iii) a crosslinking agent containing functional groups capable of reacting with the amino groups on the polymer.

2. Description of the Related Art

[0002] Many medical procedures involve the placement of medical devices, such as catheters, endotracheal tubes, prostheses, grafts, sutures, dressings and implants, in the human body. Infection is a common complication associated with the use of such medical devices. Accordingly, many research efforts have concentrated on finding means to prevent infection associated with these implantable medical devices.

[0003] One solution to this infection problem has been the use of medical device coatings having antimicrobial agents. An "antimicrobial" agent is an agent that has antiviral, antibacterial, and/or antifungal properties. (Antiviral means capable of killing or suppressing the replication of viruses. Antibacterial means bacteriostatic or bactericidal where bactericidal means the killing of microorganisms and bacteriostatic means inhibiting the growth of microorganisms. Antifungal means capable of killing or suppressing replication of fungi.)

[0004] It has been particularly desirable to use a coating for implantable medical devices that is bacteriostatic, i.e., inhibits bacterial growth, such that the device can be implanted for longer periods without compromising the subject

through a secondary bacterial infection. Various compounds have been incorporated into polymeric materials or polymeric coatings to provide for an implantable medical device that is bacteriostatic. For example, U.S. Patent No. 6,224,579 B1 discloses polymeric medical articles comprising triclosan and/or other chlorinated phenols and silver containing compounds. U.S. Patent Nos. 5,707,366, 4,999,210 and 4,675,347 disclose medical articles having a chlorhexidine coating. U.S. Patent No. 5,709,672 discloses polymeric medical articles comprising gentian violet and/or silver containing compounds. U.S. Patent No. 5,328,698 discloses polymeric medical articles comprising quaternary ammonium compounds. U.S. Patent No. 5,069,907 discloses medical articles comprising triclosan. U.S. Patent No. 6,162,487 discloses polymeric medical articles comprising chlorhexidine, methylisothiazolone, terpineol, thymol, chloroxylenol, and/or cetylpyridinium chloride. U.S. Patent Nos. 4,323,557, 4,310,509 and 4,128,633 disclose medical articles having iodine compound coatings. U.S. Patent No. 4,605,564 discloses polymeric medical articles comprising chlorinated phenols.

[0005] Even though various medical device coatings having antimicrobial agents are known, there is still a need for an improved antimicrobial polymer coating that may be easily applied to a substrate to provide an article which has excellent antimicrobial properties and which retains its antimicrobial properties in a permanent and non-leachable fashion when in contact with bodily fluids for prolonged periods. In addition, it would be beneficial if this coating could be combined with an anti-thrombogenic coating to prevent both clotting and bacterial infection.

SUMMARY OF THE INVENTION

[0006] The foregoing needs are met by a polymeric composition having antimicrobial properties, wherein the polymeric composition comprises a crosslinked chemical combination of (i) a polymer having side chains along a backbone forming the polymer, at least two of the side chains containing an amino group, (ii) an antimicrobial agent selected from quaternary ammonium compounds, gentian violet compounds, substituted or unsubstituted phenols, biguanide compounds, iodine compounds, and mixtures thereof, and (iii) a

crosslinking agent containing at least two functional groups capable of reacting with the amino groups. An article according to the invention comprises a substrate and a coating of the polymeric composition disposed on at least a portion of the substrate.

5 **[0007]** The polymer used in the polymeric composition comprises a polymer having side chains along a backbone forming the polymer wherein at least two of the side chains contain an amino group ($-NRH$, $-NH_2$, $-NRH_2^+$, $-NH_3^+$). In one example embodiment, the polymer is a polyamide having amino substituted alkyl chains on one side of the polymer backbone. The crosslinking agent used in the
10 polymeric composition contains at least two functional groups capable of reacting with the amino groups of the polymer used in the coating. In one example of the crosslinking agent used in the polymeric composition, the crosslinking agent is selected from the group consisting of phosphines having the general formula $(A)_3P$, wherein A is hydroxyalkyl, and mixtures thereof. One more specific
15 example of the crosslinking agent used in the polymeric composition is tris(hydroxymethyl)phosphine. Specific non-limiting examples of the antimicrobial agent used in the polymeric composition include quaternary ammonium compounds, gentian violet compounds, substituted or unsubstituted phenols, biguanide compounds, iodine compounds, and mixtures thereof.

20 **[0008]** An article having an antimicrobial surface may be produced by a process according to the invention in which a polymer having at least two amino substituted side chains is mixed with a crosslinking agent to produce a polymer solution. The crosslinking agent contains at least two crosslinking functional groups which react and combine with amino groups on the polymer. The polymer
25 solution is coated on at least a portion of a substrate to produce a crosslinked polymer coating on the substrate. In one version of the invention, an antimicrobial agent is added to the polymer solution before coating to entrap the antimicrobial agent in the crosslinked polymer coating on the substrate. In another version of the invention, a solution of an antimicrobial agent and a crosslinking agent (which
30 may be the same as for the coating or a different crosslinking agent) is applied to the crosslinked polymer coating on the substrate after coating to immobilize the antimicrobial agent on the crosslinked polymer coating on the substrate. In yet

another version of the invention, the crosslinked polymer coating on the substrate is treated after coating to create an anionic surface and a cationic antimicrobial agent is bonded to the crosslinked polymer coating on the substrate.

[0009] In an example embodiment of the invention, the versatile chemical

5 methodology of the invention allows for the deposition of an antimicrobial polymeric composition on a polymeric substrate (e.g., polydimethylsiloxane, polyurethane, and polypropylene). The polymeric composition includes a two dimensional polymer having a backbone of repeating β -amino acid units with long aliphatic side-chain and free NH- and NH₂- substituents and may be synthesized
10 by condensation of 2(5H)-furanone, or maleic acid derivatives (such as anhydride, esters, and so on) with a long-chain amine (e.g., tetradecylamine) and a polyamine (e.g., pentaethylenehexamine). Crosslinking of the two-dimensional polymer is undertaken with tris(hydroxymethyl)phosphine (the crosslinking agent), and an antimicrobial agent, such as quaternary ammonium compounds, gentian
15 violet compounds, substituted or unsubstituted phenols, biguanide compounds, iodine compounds, and mixtures thereof, is incorporated into the crosslinked polymer coating. Various mechanisms are available for incorporating the antimicrobial agent into the crosslinked polymer coating, such as ionic bonding, covalent bonding and entrapment. However, it should be understood that the
20 invention is not limited by the means for incorporating the antimicrobial agent into the crosslinked polymer coating.

[0010] Experimental studies indicate that when an antimicrobial agent, such as quaternary ammonium compounds, gentian violet compounds, substituted or unsubstituted phenols, biguanide compounds, iodine compounds, and mixtures
25 thereof, is incorporated into the two-dimensional polymer and the polymer is crosslinked with the crosslinking agent, the resulting polymeric composition exhibits strong inhibitory activity against target pathogenic microorganisms. When the polymeric composition includes a mixture of different antimicrobial agents, synergistic antimicrobial activity greater than the sum of the activity of the
30 individual antimicrobial agents alone is possible.

[0011] It is therefore an advantage of the present invention to provide an improved antimicrobial polymeric composition that may be easily applied to a substrate to produce an article which has excellent antimicrobial properties and which retains its antimicrobial properties in a permanent and non-leachable fashion when placed in an environment (e.g., bodily fluids) that may promote bacterial growth.

[0012] It is another advantage of the present invention to provide an improved antimicrobial polymeric composition that may be easily applied to a substrate for the prevention of medical device-related infections.

[0013] It is a further advantage of the present invention to provide an improved antimicrobial polymeric composition that may be easily applied to a substrate along with an anti-thrombogenic coating to prevent both clotting and bacterial infections.

[0014] These and other features, aspects, and advantages of the present invention will become better understood upon consideration of the following detailed description, drawings, and appended claims.

BRIEF DESCRIPTION OF THE DRAWINGS

[0015] Figure 1A shows a process for synthesizing a polyamide that is suitable for forming an antimicrobial polymeric composition coating in accordance with the present invention.

[0016] Figure 1B shows example polyamides having amino groups that are suitable for forming the antimicrobial polymeric composition coating in accordance with the present invention.

[0017] Figures 2A and 2B show a process for synthesizing another example polyamide having amino groups that are suitable for forming the antimicrobial polymeric composition coating in accordance with the present invention.

DETAILED DESCRIPTION OF THE INVENTION

[0018] The present invention is directed to a polymeric composition having antimicrobial properties. The polymeric composition comprises a crosslinked chemical combination of (i) a polymer having side chains along a backbone forming the polymer, at least two of the side chains containing an amino group, (ii) an antimicrobial agent selected from quaternary ammonium compounds, gentian

violet compounds, substituted or unsubstituted phenols, biguanide compounds, iodine compounds, and mixtures thereof, and (iii) a crosslinking agent containing at least two functional groups capable of reacting with the amino groups. An article having an antimicrobial surface according to the invention comprises a substrate and a coating of the antimicrobial polymeric composition disposed on at least a portion of the substrate.

[0019] The polymer used in the antimicrobial polymeric composition comprises a polymer having side chains along a backbone forming the polymer wherein at least two of the side chains contain an amino group ($-NRH$, $-NH_2$, $-NRH_2^+$, $-NH_3^+$).

In one example embodiment of the polymer, the polymer is a polyamide synthesized using the polymerization reactions disclosed in U.S. Patent No. 6,153,724, which are shown in Figure 1A. Looking at Figure 1A, it can be seen that the polyamide can be synthesized using an α,β -unsaturated gamma lactone, such as 2(5H)-furanone, as an agent to effect the regular, sequential alignment of side chains along a polyamide backbone. The furanone undergoes facile reaction with a primary amine by Michael-type addition to yield α,β -amino gamma lactone which then polymerizes to form a polyamide chain with the pendant side chain. Depending on the side group (R), the method can produce many different types of polyamides. When the R group is a polyamine (such as pentaethylenehexamine), a polymer having alkyl chains on one side and amino substituted alkyl chains on the other side of the polymer backbone and hydroxymethyl groups on the other side of the backbone is formed. See Figure 1B. This example two-dimensional polymer has a backbone of repeating β -amino acid units with fatty alkyl (tetradecyl) and polyamine (derived from pentaethylenehexamine) side chains randomly distributed along the chain. By virtue of its amphiphilic properties, the two-dimensional polymers are easily soluble in both water and most organic solvents (e.g., alcohols, tetrahydrofuran, chloroform, toluene, N,N-dimethylformamide, and the like).

[0020] One polyamide disclosed in U.S. Patent No. 6,153,724 and useful in the present invention is formed by reacting an α,β -unsaturated lactone and a first amine to form an intermediate reaction product, wherein the first amine is selected

from RR_1NH , RNH_2 , $RR_1NH_2^+$, RNH_3^+ and mixtures thereof, wherein R and R_1 can be the same or different and each contain between about 1 and 50 carbon atoms and are optionally substituted with heteroatoms oxygen, nitrogen, sulfur, and phosphorus and combinations thereof; and (ii) reacting the intermediate
5 reaction product and a polyamine to form the polyamide, wherein the second polyamine is selected from R_2R_3NH , R_2NH_2 , $R_2R_3NH_2^+$, $R_2NH_3^+$ and mixtures thereof, wherein R_2 and R_3 can be the same or different and each contain an amino group ($-NRH$, $-NH_2$, $-NRH_2^+$, $-NH_3^+$) and between about 1 and 50 carbon atoms and are optionally substituted with heteroatoms oxygen, nitrogen, sulfur,
10 and phosphorus and combinations thereof, wherein multiples of the R, R_1 , R_2 , and R_3 are in a vertically aligned spaced relationship along a backbone formed by the polyamide. In one example embodiment of the invention, R, R_1 , R_2 , and R_3 may be selected from alkyl, alkenyl, alkynyl, cycloalkyl, aryl, aralkyl, hydroxyl, nitrile, carboxyl, sulfate, phosphate, sulfonyl, trialkylammonium and combinations thereof
15 and optionally can be substituted with a halogen selected from the group consisting of chlorine, iodine, bromine, fluorine and combinations thereof. The R, R_1 , R_2 , and R_3 groups may be the same or different depending on the desired structure for the final polyamide. In other words, the first amine and the second amine used in the polymerization process may be the same or different.

20 **[0021]** In another example of the polymer used in the antimicrobial polymeric composition, the polymer is a polyamide synthesized using the polymerization reaction disclosed in the U.S. Patent Application entitled "Two Dimensional Polyamides Prepared from Unsaturated Carboxylic Acids and Amines" filed on October 27, 2000 by William F. McDonald *et al.*, which is owned by the assignee
25 of the present invention and is incorporated herein by reference. In this U.S. Patent Application, there is described a polymerization process in which a monomer selected from unsaturated carboxylic acids, esters of unsaturated carboxylic acids, anhydrides of unsaturated carboxylic acids, and mixtures thereof is reacted with a first amine to form an intermediate reaction product, and then the
30 intermediate reaction product is reacted with a polyamine to form a polyamide wherein at least a portion of the side chains along a backbone forming the polyamide are amino substituted alkyl chains. See Figures 2A and 2B (wherein

R_1 includes an amino group). The process for producing this polyamide involves reacting a monomer selected from unsaturated carboxylic acids, esters of unsaturated carboxylic acids, anhydrides of unsaturated carboxylic acids and mixtures thereof with a first amine to form an intermediate reaction product in the reaction mixture, wherein the first amine is selected from RR_1NH , RNH_2 , $RR_1NH_2^+$, RNH_3^+ and mixtures thereof, wherein R and R_1 can be the same or different and each contain between about 1 and 50 carbon atoms and are optionally substituted with heteroatoms oxygen, nitrogen, sulfur, and phosphorus and combinations thereof. The reaction of the monomer and the first amine forms an intermediate reaction product in the reaction mixture. The intermediate reaction product is then reacted with a second amine selected from R_2R_3NH , R_2NH_2 , $R_2R_3NH_2^+$, $R_2NH_3^+$ and mixtures thereof, wherein R_2 and R_3 can be the same or different and each contain an amino group ($-NRH$, $-NH_2$, $-NRH_2^+$, $-NH_3^+$) and each contain between about 1 and 50 carbon atoms and are optionally substituted with heteroatoms oxygen, nitrogen, sulfur, and phosphorus and combinations thereof. The reaction of the intermediate reaction product with the second amine forms the polyamide in the reaction mixture. The polyamide may then be separated from the reaction mixture. A polyamide produced in accordance with the method of the invention includes multiples of the R , R_1 , R_2 , and R_3 groups in vertically aligned spaced relationships along a backbone formed by the polyamide.

[0022] Suitable unsaturated carboxylic acids, esters of unsaturated carboxylic acids, and anhydrides of unsaturated carboxylic acids for use as the monomer in this polymerization process have for example from 3 to 18 carbon atoms in the molecule. Of this group of acids, the monocarboxylic acid, acrylic acid, and the dicarboxylic acid, maleic acid, are preferred. Of this group of esters, maleic acid monoesters (such as maleic acid monoethyl ester) are preferred. A non-limiting example of anhydrides of the unsaturated carboxylic acids is maleic anhydride. In one example embodiment of the invention, R , R_1 , R_2 , and R_3 may be selected from alkyl, alkenyl, alkynyl, cycloalkyl, aryl, aralkyl, hydroxyl, nitrile, carboxyl, sulfate, phosphate, sulfonyl, trialkylammonium and combinations thereof and optionally can be substituted with a halogen selected from the group consisting of

chlorine, iodine, bromine, fluorine and combinations thereof. The R, R₁, R₂, and R₃ groups may be the same or different depending on the desired structure for the final polyamide. In other words, the first amine and the second amine used in the polymerization process may be the same or different.

5 **[0023]** The crosslinking agent used in the coating contains at least two functional groups capable of reacting with the amino groups of the polymer used in the coating. Example crosslinking agents include polyaldehydes such as glutaraldehyde. It has also been discovered that the polymer can be crosslinked using a phosphine crosslinking agent having the general formula (A)₃P and
10 mixtures thereof, wherein A is hydroxyalkyl. The A groups of the phosphine crosslinking agent undergo a spontaneous Mannich-type reaction with amino groups on the polymer under mild conditions (pH ~7, aqueous or organic media, room temperature, 2-24 hours). This results in the formation of an aminomethyl-phosphine linkage (N-CH₂-P-CH₂-N) which is much less susceptible to enzyme
15 deactivation and hydrolysis at a low pH than other known crosslinkages.

[0024] The phosphine crosslinking agent has many other important features. For example, the phosphine crosslinking agent has a high reactivity to amino groups under mild conditions (room temperature, in aqueous or organic media, pH 2-9, and a pot life extending to several hours). The resulting Mannich base type
20 products formed from the phosphine crosslinking agent show a higher stability over that provided by glutaraldehyde in that a polymer crosslinked by glutaraldehyde containing 10% phosphine crosslinking agent was found to withstand low pH (pH 2-3) and high temperature conditions (boiling water poured into a large beaker followed by the addition of the coated plates without additional
25 heating for 30 minutes) without deterioration. The phosphine crosslinking agent is unusually highly reactive with a number of other important functional groups such as urea, biguanide, and ortho-substituted or para-substituted phenols, which allows for covalent bonding with numerous antimicrobial agents including nearly all chlorophenol type disinfectants, such as chlorhexidine and methyl violet 2B (N-
30 dimethyl-Gentian violet), which are not generally reactive to other crosslinking materials. In addition, the phosphine crosslinking agent offers the benefits of operational simplicity, good biocompatibility, and low cost. The phosphine

crosslinking agent can also react with the substrate to create tightly bound anchors between the polyamide coating and the substrate. Non-limiting examples of phosphine crosslinking agents include tris(hydroxymethyl)phosphine, tris(1-hydroxyethyl)phosphine, and tris(1-hydroxypropyl)phosphine. In certain circumstances, it may be cost effective to form the phosphine crosslinking agent *in situ* such as by the reaction of tetrakis-(hydroxymethyl)phosphonium chloride and triethylamine.

[0025] The amount of crosslinking agent and the amount of polymer used to produce the antimicrobial polymeric composition can be varied depending upon the particular crosslinking agent utilized, the reaction conditions and the particular product application contemplated. For example, the ratio of A groups in the phosphine crosslinking agent to the total of amount of amino groups in the polyamide can be varied to achieve a predetermined level of crosslinking. Typically, the A groups in the phosphine crosslinking agent to the total of amount of amino groups in the polymer is about 30% to provide acceptable crosslinking. In one version of the invention, enough phosphine crosslinking agent is added to the polyamide such that at least 30% of the available amino groups in the polymer are crosslinked by the A groups in the phosphine crosslinking agent. This percentage or amount of phosphine crosslinker can be varied to obtain antimicrobial polymeric composition coatings with the desired crosslink density.

[0026] The antimicrobial agent used in the polymeric composition may be selected from quaternary ammonium compounds, gentian violet compounds, substituted or unsubstituted phenols, biguanide compounds, iodine compounds, and mixtures thereof.

[0027] Non-limiting illustrative quaternary ammonium compounds include alkyl-dimethyl-benzylammonium chloride, alkyl-dimethyl-ethylbenzylammonium chloride, dodecyl-dimethyl-3,4-dichlorobenzylammonium chloride, dodecyl-di-(2-hydroxyethyl)-benzylammonium chloride, dodecyl-di-(2-hydroxyethyl)-benzylammonium pentachlorophenolate, dodecyl-di-(2-hydroxyethyl)-benzylammonium 4-methylbenzoate, dodecyl-dimethyl-phenoxyethylammonium bromide, 4-diisobutyl-phenoxyethoxyethyl-dimethylbenzylammonium chloride, 4-diisobutyl-cresoxyethoxyethyl-dimethylbenzylammonium chloride, dimethyl-

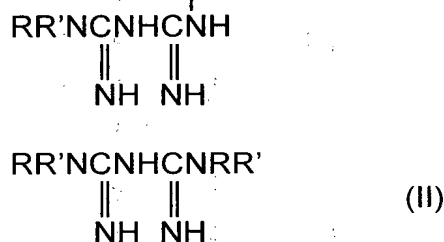
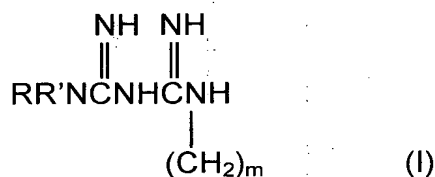
didecylammonium chloride, cetyl-trimethylammonium bromide, dodecyl-pyridinium chloride, cetyl pyridinium chloride, dodecyl-isoquinolinium chloride, decamethylene-bis-4-aminoquinaldinium dichloride, alpha -(p-tolyl)-dodecyl-trimethyl-ammonium methosulphate, (dodecanoyl-N-methylaminoethyl)-

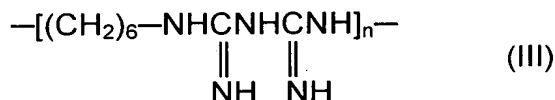
- 5 (phenylcarbamoylmethyl)-dimethylammonium chloride, and mixtures thereof. One preferred quaternary ammonium compound is cetyl pyridinium chloride (1-hexadecylpyridinium chloride).

[0028] Non-limiting illustrative gentian violet compounds include gentian violet and dimethyl gentian violet.

- 10 **[0029]** Non-limiting illustrative substituted or unsubstituted phenols include phenol, mono- and poly-chlorophenols, cresols, 4-chloro-3-methylphenol, 3,5-dimethyl-4-chlorophenol, thymol, 4-chlorothymol, 4-t-amylphenol, saligenin, 4-n-hexylresorcinol, carvacrol, 2-phenylphenol, 2-benzyl-4-chlorophenol, 2,2'-dihydroxy-5,5'-dichlorodiphenylmethane, 2,2'-dihydroxy-3,3',5,5',6,6'-
15 hexachlorodiphenylmethane, 2,2'-dihydroxy-5,5'-dichlorodiphenyl sulphide, 2,2'-dihydroxy-3,3',5,5'-tetrachlorodiphenyl sulphide, 2-hydroxy-2',4,4'-trichlorodiphenyl ether, dibromosalicyl and mixtures thereof. One preferred substituted phenol is 3,5-dimethyl-4-chlorophenol (chloroxylenol). Another preferred substituted phenol is thymol (isopropyl-m-cresol). Yet another preferred substituted phenol is
20 triclosan (5-chloro-2-(2,4-dichlorophenoxy)phenol).

[0030] Non-limiting illustrative biguanide compounds include compounds of the general formula (I), (II) or (III) given below:





In the formulas (I), (II) and (III), R is an alkyl group, an aminoalkyl group, a phenyl group, an alkylphenyl group, a halophenyl group, a hydroxyphenyl group, a methoxyphenyl group, a carboxyphenyl group, a naphthyl group or a nitrile group; R' is a hydrogen atom or an alkyl group; and m and n each is a positive integer, preferably an integer within the range of 2 to 10. A preferred example of a suitable biguanide compound is chlorhexidine, also known as 1,6-bi(N⁵-p-chlorophenyl-N¹-biguanido)hexane.

[0031] Non-limiting illustrative iodine compounds include potassium iodate, potassium iodide, iodine complexes (such as KI:I₂ and polyvinylpyrrolidone:iodine) and iodine (which as described herein will be considered an "iodine compound"). A preferred example of an iodine compound is iodine.

[0032] The amount and/or type of the antimicrobial agent used will vary depending on the particular material employed and ultimate desired effect. For instance, the amount and/or type of the antimicrobial agent which is used in a particular application may vary depending on the type and amount of contamination which is likely to occur and the size of the antimicrobial surface present in the article. For example, certain antimicrobial agents may be highly effective against most bacteria, but less effective against other bacteria.

[0033] While the amount of each antimicrobial agent used to coat the medical device may vary to some extent, the amount of each antimicrobial agent must be at least a sufficient amount to form an effective concentration to kill or suppressing the replication of viruses, to kill or inhibit the growth of bacterial microorganisms, or to kill or suppress the replication of fungi. The term "effective concentration" means that a sufficient amount of the antimicrobial agent is added to decrease, prevent or inhibit the growth of bacterial, viral, and/or fungal organisms. The amount will vary for each antimicrobial agent and it is within the skilled artisan's ability to relatively easily determine an effective concentration for each antimicrobial agent. In addition, an upper limit of the concentration of the

antimicrobial agent may exist under certain circumstances such as when the solubility limit of the antimicrobial agent in the polymer coating is reached.

[0034] The antimicrobial polymeric composition may be applied to any substrate that is considered useful in applications where antimicrobial properties are advantageous. For instance, an article having antimicrobial properties and useful as a medical device may include a substrate comprising a polymeric material conventionally used to fabricate articles commonly used in contact with bodily fluids. In this example, suitable polymeric material may be selected from polyolefins, polyacrylics, polyvinyl chloride, polyamides, polyurethanes, polyurethaneureas, silicone urethane copolymers, polyvinylpyrrolidone, polyvinyl alcohols, cellulosic materials, polystyrene, polyesters, fluorinated polymers, silicone polymers, natural rubber, polycarbonates, and mixtures thereof. The particular substrate selected does not constitute a critical aspect of the invention other than to serve as a support substrate for the antimicrobial polymeric coating composition.

[0035] An article having an antimicrobial surface according to the invention may be prepared using various methods as follows. In a first method for preparing an article having an antimicrobial surface according to the invention, the substrate is precleaned, if necessary, and the surface of the substrate is modified via coupling agent, if necessary. A solution of the polymer having side chains along a backbone forming the polymer wherein at least two of the side chains contain an amino group ($-NRH$, $-NH_2$, $-NRH_2^+$, $-NH_3^+$) is then prepared in a suitable solvent, such as isopropanol. The polymer concentration can vary and is typically from 1 to 5% depending on the desired coating concentration. A crosslinking agent or a mixture of crosslinking agents is then added to the polymer solution. Each crosslinking agent should contain at least two functional groups capable of reacting with the amino groups of the polymer. Non-limiting examples of crosslinking agents include polyaldehydes, such as glutaraldehyde, phosphines having the general formula $(A)_3P$, wherein A is hydroxyalkyl, and mixtures thereof. One example mixture of crosslinking agents includes 50% glutaraldehyde + 80% tris(hydroxymethyl)phosphine (5:1, v/v). The phosphine crosslinking agent may

also be formed *in situ* such as by the reaction of tetrakis-(hydroxymethyl) phosphonium chloride and triethylamine.

[0036] The polymer / crosslinking agent solution is then applied to a substrate and the substrate may be heated or baked in an oven at 125°C for four hours to complete the crosslinking process and create a crosslinked polymeric coating on the substrate. This step may be repeated if necessary. Typically, the coatings are applied using a dipping process. However, the coatings can be spin coated, brushed, sprayed, sponged, or the like onto the substrate. Immobilization of an antimicrobial on the crosslinked polymer coating may then be accomplished by (a) preparing a coating solution of (i) an antimicrobial agent selected from gentian violet compounds, substituted or unsubstituted phenols, biguanide compounds, and mixtures thereof, (ii) a crosslinking agent containing at least two crosslinking functional groups capable of reacting with the amino groups (e.g., polyaldehydes, such as glutaraldehyde, phosphines having the general formula $(A)_3P$, wherein A is hydroxyalkyl, and mixtures thereof), and (iii) optionally a solvent such as isopropanol; and (b) applying the coating solution to the crosslinked polymer coating on the substrate. Without intending to be bound by theory, it is believed that the antimicrobial agent forms a covalent bond with the polymer and/or the crosslinking agent(s).

[0037] In a second method for preparing an article having an antimicrobial surface according to the invention, the substrate is precleaned, if necessary, and the surface of the substrate is modified via coupling agent, if necessary. A solution of the polymer having side chains along a backbone forming the polymer wherein at least two of the side chains contain an amino group ($-NRH$, $-NH_2$, $-NRH_2^+$, $-NH_3^+$) is then prepared in a suitable solvent, such as isopropanol. The polymer concentration can vary and is typically from 1 to 5% depending on the desired coating concentration. A crosslinking agent or a mixture of crosslinking agents is then added to the polymer solution. Each crosslinking agent should contain at least two functional groups capable of reacting with the amino groups of the polymer. Non-limiting examples of crosslinking agents include polyaldehydes, such as glutaraldehyde, phosphines having the general formula $(A)_3P$, wherein A is hydroxyalkyl, and mixtures thereof. The phosphine crosslinking agent may also

be formed *in situ* such as by the reaction of tetrakis-(hydroxymethyl)phosphonium chloride and triethylamine. An antimicrobial agent selected from quaternary ammonium compounds, gentian violet compounds, substituted or unsubstituted phenols, biguanide compounds, iodine compounds, and mixtures thereof, is then added to the polymer solution.

[0038] The polymer / crosslinking agent / antimicrobial agent solution is then applied to a substrate and the substrate may be heated or baked in an oven at 125°C for four hours to complete the crosslinking process and create an antimicrobial crosslinked polymeric coating on the substrate. This step may be repeated if necessary. Typically, the coatings are applied using a dipping process. However, the coatings can be spin coated, brushed, sprayed, sponged, or the like onto the substrate. Without intending to be bound by theory, it is believed that the antimicrobial agent is entrapped in the crosslinked polymer coating on the substrate surface.

[0039] In a third method for preparing an article having an antimicrobial surface according to the invention, the substrate is precleaned, if necessary, and the surface of the substrate is modified via coupling agent, if necessary. A solution of the polymer having side chains along a backbone forming the polymer wherein at least two of the side chains contain an amino group (-NRH, -NH₂, -NRH₂⁺, -NH₃⁺) is then prepared in a suitable solvent, such as isopropanol. The polymer concentration can vary and is typically from 1 to 5% depending on the desired coating concentration. A crosslinking agent or a mixture of crosslinking agents is then added to the polymer solution. Each crosslinking agent should contain at least two functional groups capable of reacting with the amino groups of the polymer. Non-limiting examples of crosslinking agents include polyaldehydes, such as glutaraldehyde, phosphines having the general formula (A)₃P, wherein A is hydroxyalkyl, and mixtures thereof. The phosphine crosslinking agent may also be formed *in situ* such as by the reaction of tetrakis-(hydroxymethyl)phosphonium chloride and triethylamine. One example mixture of crosslinking agents includes 50% glutaraldehyde + 80% tris(hydroxymethyl)phosphine (5:1, v/v).

[0040] The polymer / crosslinking agent solution is then applied to a substrate and the substrate may be heated or baked in an oven at 125°C for four hours to

complete the crosslinking process and create a crosslinked polymeric coating on the substrate. This step may be repeated if necessary. Typically, the coatings are applied using a dipping process. However, the coatings can be spin coated, brushed, sprayed, sponged, or the like onto the substrate. Immobilization of an antimicrobial on the crosslinked polymer coating may then be accomplished by (a) treating at least a portion of the crosslinked polymer coating with a charge derivatization agent to create a negative charge on the portion of the crosslinked polymer coating, and (b) applying a cationic antimicrobial agent selected from quaternary ammonium compounds, gentian violet compounds, biguanide compounds, iodine compounds, and mixtures thereof, to the portion of the crosslinked polymer coating. While numerous charge derivatization agents are suitable for use in the method of the invention, one example embodiment of the third method of the invention involves the preparation of a sulfonate rich surface by charge derivatization of the polymer coating layer using 2-sulfobenzoic acid anhydride. Without intending to be bound by theory, it is believed that the antimicrobial agent forms an ionic bond with the treated crosslinked polymer coating.

[0041] Any of the antimicrobial coated substrates produced by the above methods may be further treated with an iodine compound. For example, the antimicrobial coated substrate may be impregnated in aqueous 1% KI:I₂ complex (Burke's iodine) for several minutes. This typically results in dark brown iodine surface. It has been discovered that antimicrobial coated substrates produced by the above methods are good iodophores to keep iodine on the surface for a period longer than 2 weeks, which is comparable to polyvinylpyrrolidone complexed iodine.

EXAMPLES

[0042] The following examples serve to further illustrate the invention. The Control Example details the preparation of a substrate having a crosslinked polyamide coating. Examples 1-16 detail the preparation of substrates having different antimicrobial crosslinked polyamide coatings in accordance with the invention. The examples are not intended to limit the invention in any way.

Control Example

A. Preparation of a Polyamide with Side Chains

[0043] A polymer with side chains was prepared as follows. First, 1.0 moles (144.1 grams) of maleic acid mono-ethyl ester was dissolved in 100 grams of isopropanol in a break away resin kettle. The kettle containing the maleic acid mono-ethyl ester / isopropanol solution was then cooled in an ice bath with agitation. Second, 0.5 moles (160.7 grams) of commercially available tetradecylamine was dissolved in 250 grams of isopropanol and added slowly to the cooled maleic acid mono-ethyl ester solution with stirring. A Michael-type addition reaction product began to precipitate within 5 minutes. The tetradecylamine addition required about two hours with ice bath conditions being maintained throughout. Third, 58.1 grams (0.25 moles) of commercially available pentaethylenhexamine were added drop wise to the reaction solution over a two hour period. The reaction is removed from the ice bath at the end of the monomer addition and stirred for an additional 2 hours. The amount of pentaethylene-hexamine charged is determined from the monomer charge from the formation of intermediate. After complete addition of the pentaethylene-hexamine, the reaction kettle was removed from the cold bath with continuous stirring for another 2 hours.

B. Preparation of a Substrate

[0044] Before application of a polymer coating to a substrate, the surface of the substrate was modified. Substrate surface modification was accomplished by adding 2 milliliters of 3-(2-(2-aminoethylamino)ethylamino) propyl-trimethoxysilane and 0.1 milliliters of glacial acetic acid to 50 milliliters of isopropanol. A polydimethylsiloxane substrate was then dip coated in this solution followed by a 125°C cure cycle in a forced air oven for 15 minutes.

C. Preparation of a Substrate Having A Crosslinked Polyamide Coating

[0045] A 3% solution of the polyamide with side chains prepared above was prepared in isopropanol. A polymer coating solution was then prepared by mixing 50 milliliters of the 3% solution of the polyamide, 100 milligrams of hydroquinone, 50 milligrams of Triton™ X-100 brand non-ionic surfactant, 1.5 milliliters of 50% glutaraldehyde, 0.1 milliliters of triethylamine, and 0.15 milliliters of 80%

tetrakis(hydroxymethyl)- phosphonium chloride. The surface modified substrate prepared above was then dipped in the polymer coating solution two times and heated by hot air at 120°-150°C for 1 minute after each dip. The coated substrate was then hung in a 125°C oven to cure for 2 hours.

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Example 1

Preparation of a Substrate Having A Crosslinked Polyamide Coating Including A Quaternary Ammonium Compound

10 **[0046]** A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was then prepared by mixing 110 milliliters of the 3% solution of the polyamide, 4 milliliters of a 50 mg./ml. hydroquinone in isopropanol solution, 1 milliliter of 50 mg./ml. Triton™ X-100 brand non-ionic surfactant in isopropanol, 3 milliliters of
15 50% glutaraldehyde, 0.4 milliliters of triethylamine, and 0.4 milliliters of 80% tetrakis(hydroxymethyl)- phosphonium chloride. A surface modified substrate as prepared in the Control Example was then dipped in the polymer coating solution and heated by hot air at 120°-150°C for 1 minute.

[0047] The coated substrate was then incubated for 1 hour in a solution of 0.75
20 grams of 2-sulfobenzoic anhydride, 150 milliliters of 50 mM phosphate buffered saline (pH=7.4), 10 milliliters of isopropanol, 0.1 grams of dimethylaminopyridine, and 0.45 milliliters of triethylamine (adjust pH to 7.4). The coated substrate was then water rinsed and air dried.

[0048] The coated substrate was then incubated at room temperature for 1
25 hour in a solution of 1.0 grams of cetyl pyridinium chloride, 100 milliliters of water and 10 milliliters of isopropanol. The coated substrate was then air dried, water rinsed by soaking for 15 minutes, and then air dried.

Example 2

30 Preparation of a Substrate Having A Crosslinked Polyamide Coating Including A Gentian Violet Compound

[0049] A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was

then prepared by mixing 110 milliliters of the 3% solution of the polyamide, 4 milliliters of a 50 mg./ml. hydroquinone in isopropanol solution, 1 milliliter of 50 mg./ml. Triton™ X-100 brand non-ionic surfactant in isopropanol, 3 milliliters of 50% glutaraldehyde, 0.4 milliliters of triethylamine, and 0.4 milliliters of 80% tetrakis(hydroxymethyl)- phosphonium chloride. A surface modified substrate as prepared in the Control Example was then dipped in the polymer coating solution and heated by hot air at 120°-150°C for 1 minute.

[0050] The coated substrate was then incubated for 1 hour in a solution of 0.75 grams of 2-sulfobenzoic anhydride, 150 milliliters of 50 mM phosphate buffered saline (pH=7.4), 10 milliliters of isopropanol, 0.1 grams of dimethylaminopyridine, and 0.45 milliliters of triethylamine (adjust pH to 7.4). The coated substrate was then water rinsed and air dried.

[0051] The coated substrate was then incubated at room temperature overnight in a solution of 350 milligrams of dimethyl gentian violet and 100 milliliters of water, rinsed with distilled water until the washings were colorless, and then air dried.

Example 3

Preparation of a Substrate Having A Crosslinked Polyamide Coating Including A Gentian Violet Compound

[0052] A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was then prepared by mixing 110 milliliters of the 3% solution of the polyamide, 4 milliliters of a 50 mg./ml. hydroquinone in isopropanol solution, 1 milliliter of 50 mg./ml. Triton™ X-100 brand non-ionic surfactant in isopropanol, 3 milliliters of 50% glutaraldehyde, 0.4 milliliters of triethylamine, and 0.4 milliliters of 80% tetrakis(hydroxymethyl)- phosphonium chloride. A surface modified substrate as prepared in the Control Example was then dipped in the polymer coating solution and heated by hot air at 120°-150°C for 1 minute.

The coated substrate was then incubated for 16 hours at room temperature in a solution of 50 milliliters of 0.3% dimethylgentian violet, 150 milliliters of

tris(hydroxymethyl)-phosphine and 10 milliliters of isopropanol. The substrates were then rinsed with water to remove excess dye, and air dried.

Example 4

5 Preparation of a Substrate Having A Crosslinked Polyamide Coating Including A Substituted Phenol Compound

10 **[0053]** A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was then prepared by mixing 55 milliliters of the 3% polymer solution in isopropanol, 198 milligrams of thymol, 1.5 milliliters of 50% glutaraldehyde, 0.15 milliliters of 80% tetrakis(hydroxymethyl)- phosphonium chloride, and 0.1 milliliters of triethylamine. A surface modified substrate as prepared in the Control Example was then dipped in the polymer coating solution two times and heat gun dried after each dip. The coated substrate was then cured by hanging in a 125°C oven for 16 hours. The coated substrate was then washed by incubating in 10 mM phosphate buffered saline (pH=7.4) for 1 hour, rinsed two times for 15 minutes with distilled water, and air dried.

Example 5

20 Preparation of a Substrate Having A Crosslinked Polyamide Coating Including A Substituted Phenol Compound

25 **[0054]** A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was then prepared by mixing 55 milliliters of the 3% polymer solution in isopropanol, 208 milligrams of triclosan, 1.5 milliliters of 50% glutaraldehyde, 0.2 milliliters of 80% tetrakis(hydroxymethyl)- phosphonium chloride, and 0.2 milliliters of triethylamine. A surface modified substrate as prepared in the Control Example was then dipped in the polymer coating solution two times and heat gun dried after each dip. The coated substrate was then cured by hanging in a 125°C oven for 16 hours. The coated substrate was then washed by incubating in 10 mM phosphate buffered saline (pH=7.4) for 1 hour, rinsed two times for 15 minutes with distilled water, and air dried.

Example 6

Preparation of a Substrate Having A Crosslinked Polyamide Coating Including A Biguanide Compound

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[0055] A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was then prepared by mixing 110 milliliters of the 3% solution of the polyamide, 4 milliliters of a 50 mg./ml. hydroquinone in isopropanol solution, 1 milliliter of 50

10 mg./ml. Triton™ X-100 brand non-ionic surfactant in isopropanol, 3 milliliters of 50% glutaraldehyde, 0.4 milliliters of triethylamine, and 0.4 milliliters of 80% tetrakis(hydroxymethyl)- phosphonium chloride. A surface modified substrate as prepared in the Control Example was then dipped in the polymer coating solution and heated by hot air at 120°-150°C for 1 minute.

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[0056] The coated substrate was then incubated for 1 hour in a solution of 0.75 grams of 2-sulfobenzoic anhydride, 150 milliliters of 50 mM phosphate buffered saline (pH=7.4), 10 milliliters of isopropanol, 0.1 grams of dimethylaminopyridine, and 0.45 milliliters of triethylamine (adjust pH to 7.4). The coated substrate was then water rinsed and air dried.

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[0057] The coated substrate was then incubated at room temperature for 2 hours in a solution of 0.6 grams of chlorhexidine, 80 milliliters of water, 10 milliliters of isopropanol, and enough triethylamine to adjust the pH to 3.8.

Example 7

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Preparation of a Substrate Having A Crosslinked Polyamide Coating Including A Biguanide Compound

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[0058] A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was then prepared by mixing 55 milliliters of the 3% polymer solution in isopropanol, 215 milligrams of chlorhexidine, 1.5 milliliters of 50% glutaraldehyde, and 0.15 milliliters of 80% tetrakis(hydroxymethyl)- phosphonium chloride. A surface modified substrate as prepared in the Control Example was then dipped in the polymer coating solution two times and heat gun dried after each dip. The coated

substrate was then cured by hanging in a 125°C oven for 3 hours. After curing, boiled water was poured over the substrates in a beaker. Without further heating, the substrates were periodically and gently stirred for 15 minutes.

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Example 8

Preparation of a Substrate Having A Crosslinked Polyamide Coating Including An Iodine Compound

10 **[0059]** A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was then prepared by mixing 110 milliliters of the 3% solution of the polyamide, 4 milliliters of a 50 mg./ml. hydroquinone in isopropanol solution, 1 milliliter of 50 mg./ml. Triton™ X-100 brand non-ionic surfactant in isopropanol, 3 milliliters of 50% glutaraldehyde, 0.4 milliliters of triethylamine, and 0.4 milliliters of 80%
15 tetrakis(hydroxymethyl)- phosphonium chloride. A surface modified substrate as prepared in the Control Example was then dipped in the polymer coating solution and heated by hot air at 120°-150°C for 1 minute.

20 **[0060]** The coated substrate was then incubated for 1 hour in a solution of 0.75 grams of 2-sulfobenzoic anhydride, 150 milliliters of 50 mM phosphate buffered saline (pH=7.4), 10 milliliters of isopropanol, 0.1 grams of dimethylaminopyridine, and 0.45 milliliters of triethylamine (adjust pH to 7.4). The coated substrate was then water rinsed and air dried.

25 **[0061]** A 1% KI:I₂ complex (Burke's Iodine) was then prepared as follows. One gram of potassium iodide (KI) and 1 gram of iodine were placed in a mortar and ground with a pestle for 1 minute. Then 1 milliliter of distilled water was added and ground. Then 5 milliliters of water were added and ground until all material was in solution. Ten milliliters of water were added, and mixed and poured into an amber bottle. The mortar and pestle were rinsed to bring the total volume to 100 milliliters.

30 **[0062]** The coated substrate was then incubated in the prepared 1% KI:I₂ solution at room temperature for 10 minutes. The substrates were water rinsed until the washings were colorless. The rinsed substrates were air dried for 2

hours, and then stored in a petri dish wrapped with aluminum foil to avoid exposure to light.

Example 9

5 Preparation of a Substrate Having A Crosslinked Polyamide Coating Including A Gentian Violet and An Iodine Compound

10 **[0063]** A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was then prepared by mixing 110 milliliters of the 3% solution of the polyamide, 4 milliliters of a 50 mg./ml. hydroquinone in isopropanol solution, 1 milliliter of 50 mg./ml. Triton™ X-100 brand non-ionic surfactant in isopropanol, 3 milliliters of 50% glutaraldehyde, 0.4 milliliters of triethylamine, and 0.4 milliliters of 80% tetrakis(hydroxymethyl)- phosphonium chloride. A surface modified substrate as prepared in the Control Example was then dipped in the polymer coating solution and heated by hot air at 120°-150°C for 1 minute.

20 **[0064]** The coated substrate was then incubated for 1 hour in a solution of 0.75 grams of 2-sulfobenzoic anhydride, 150 milliliters of 50 mM phosphate buffered saline (pH=7.4), 10 milliliters of isopropanol, 0.1 grams of dimethylaminopyridine, and 0.45 milliliters of triethylamine (adjust pH to 7.4). The coated substrate was then water rinsed and air dried.

25 **[0065]** The coated substrate was then incubated in a solution containing 200 milligrams of dimethyl gentian violet and 100 milliliters of water for 5 hours. The coated substrate was then air dried, water rinsed to remove excess dye, and air dried.

30 **[0066]** The coated substrate was then incubated in the 1% KI:I₂ solution as prepared in Example 8 at room temperature for 10 minutes. The substrates were water rinsed until the washings were colorless. The rinsed substrates were air dried for 2 hours, and then stored in a petri dish wrapped with aluminum foil to avoid exposure to light.

Example 10

Preparation of a Substrate Having A Crosslinked Polyamide Coating Including A Biguanide And A Substituted Phenol Compound

5 **[0067]** A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was then prepared by mixing 110 milliliters of the 3% solution of the polyamide, 4 milliliters of a 50 mg./ml. hydroquinone in isopropanol solution, 1 milliliter of 50 mg./ml. Triton™ X-100 brand non-ionic surfactant in isopropanol, 3 milliliters of
10 50% glutaraldehyde, 0.4 milliliters of triethylamine, and 0.4 milliliters of 80% tetrakis(hydroxymethyl)- phosphonium chloride. A surface modified substrate as prepared in the Control Example was then dipped in the polymer coating solution and heated by hot air at 120°-150°C for 1 minute.

15 **[0068]** The coated substrate was then incubated at room temperature for 75 minutes in a solution of 1 milliliter of 80% tetrakis(hydroxymethyl)- phosphonium chloride, 0.5 milliliters of triethylamine, 442 milligrams of dimethylchlorophenol, 1.32 grams of chlorhexidine, 40 milliliters of water, and 10 milliliters of isopropanol. The coated substrate was then rinsed under running distilled water for 3 minutes.

Example 11

Preparation of a Substrate Having A Crosslinked Polyamide Coating Including A Biguanide And A Substituted Phenol Compound

25 **[0069]** A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was then prepared by mixing 110 milliliters of the 3% solution of the polyamide, 4 milliliters of a 50 mg./ml. hydroquinone in isopropanol solution, 1 milliliter of 50 mg./ml. Triton™ X-100 brand non-ionic surfactant in isopropanol, 3 milliliters of
30 50% glutaraldehyde, 0.4 milliliters of triethylamine, and 0.4 milliliters of 80% tetrakis(hydroxymethyl)- phosphonium chloride. A surface modified substrate as prepared in the Control Example was then dipped in the polymer coating solution and heated by hot air at 120°-150°C for 1 minute.

[0070] The coated substrate was then incubated at room temperature for 75 minutes in a solution of 1 milliliter of 80% tetrakis(hydroxymethyl)- phosphonium chloride, 0.5 milliliters of triethylamine, 445 milligrams of dimethylchlorophenol, 0.69 grams of chlorhexidine, 40 milliliters of water, and 10 milliliters of isopropanol. The coated substrate was then rinsed under running distilled water for 3 minutes.

Example 12

Preparation of a Bandage Including A Biguanide And A Substituted Phenol Compound

[0071] A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was then prepared by mixing 110 milliliters of the 3% solution of the polyamide, 4 milliliters of a 50 mg./ml. hydroquinone in isopropanol solution, 1 milliliter of 50 mg./ml. Triton™ X-100 brand non-ionic surfactant in isopropanol, 3 milliliters of 50% glutaraldehyde, 0.4 milliliters of triethylamine, and 0.4 milliliters of 80% tetrakis(hydroxymethyl)- phosphonium chloride. A sample of a commercially available gauze (Johnson & Johnson 'Nu Gauze'- Rayon/polyester formed fabric) was then dipped in the polymer coating solution.

[0072] The coated gauze was then incubated for 75 minutes at room temperature in a solution of 247 milligrams of dimethylchlorophenol, 681 milligrams of chlorhexidine, 10 milliliters of isopropanol, and 40 milliliters of dimethoxyethane. The coated gauze was then rinsed under running distilled water for 3 minutes.

Example 13

Preparation of a Substrate Having A Crosslinked Polyamide Coating Including A Biguanide And A Substituted Phenol Compound

[0073] A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was then prepared by mixing 110 milliliters of the 3% solution of the polyamide, 4 milliliters of a 50 mg./ml. hydroquinone in isopropanol solution, 1 milliliter of 50

mg./ml. Triton™ X-100 brand non-ionic surfactant in isopropanol, 3 milliliters of 50% glutaraldehyde, 0.4 milliliters of triethylamine, and 0.4 milliliters of 80% tetrakis(hydroxymethyl)- phosphonium chloride. A surface modified substrate as prepared in the Control Example was then dipped in the polymer coating solution and heated by hot air at 120°-150°C for 1 minute.

[0074] The coated substrate was then incubated for 30 minutes in a solution of 1 milliliter of 80% tetrakis(hydroxymethyl)- phosphonium chloride, 220 milligrams of dimethylchlorophenol, 360 milligrams of chlorhexidine, 55 milligrams of hydroquinone, 80 milliliters of water, 20 milliliters of isopropanol, and 1 milliliter of triethylamine. The coated substrate was then dried with hot air of 120°-150°C for 1 minute and then rinsed with running distilled water for 1 minute.

Example 14

Preparation of a Substrate Having A Crosslinked Polyamide Coating Including A Biguanide, A Substituted Phenol And An Iodine Compound

[0075] A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was then prepared by mixing 110 milliliters of the 3% solution of the polyamide, 4 milliliters of a 50 mg./ml. hydroquinone in isopropanol solution, 1 milliliter of 50 mg./ml. Triton™ X-100 brand non-ionic surfactant in isopropanol, 3 milliliters of 50% glutaraldehyde, 0.4 milliliters of triethylamine, and 0.4 milliliters of 80% tetrakis(hydroxymethyl)- phosphonium chloride. A surface modified substrate as prepared in the Control Example was then dipped in the polymer coating solution and heated by hot air at 120°-150°C for 1 minute.

[0076] The coated substrate was then incubated at room temperature for 75 minutes in a solution of 1 milliliter of 80% tetrakis(hydroxymethyl)- phosphonium chloride, 0.5 milliliters of triethylamine, 442 milligrams of dimethylchlorophenol, 1.32 grams of chlorhexidine, 40 milliliters of water, and 10 milliliters of isopropanol. The coated substrate was then rinsed under running distilled water for 3 minutes.

[0077] The coated substrate was then incubated in the 1% KI:I₂ solution as prepared in Example 8 at room temperature for 10 minutes. The substrates were water rinsed until the washings were colorless. The rinsed substrates were air dried for 2 hours, and then stored in a petri dish wrapped with aluminum foil to avoid exposure to light.

Example 15

Preparation of a Substrate Having A Crosslinked Polyamide Coating Including A Biguanide, A Substituted Phenol And An Iodine Compound

[0078] A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was then prepared by mixing 110 milliliters of the 3% solution of the polyamide, 4 milliliters of a 50 mg./ml. hydroquinone in isopropanol solution, 1 milliliter of 50 mg./ml. Triton™ X-100 brand non-ionic surfactant in isopropanol, 3 milliliters of 50% glutaraldehyde, 0.4 milliliters of triethylamine, and 0.4 milliliters of 80% tetrakis(hydroxymethyl)- phosphonium chloride. A surface modified substrate as prepared in the Control Example was then dipped in the polymer coating solution and heated by hot air at 120°-150°C for 1 minute.

[0079] The coated substrate was then incubated at room temperature for 75 minutes in a solution of 1 milliliter of 80% tetrakis(hydroxymethyl)- phosphonium chloride, 0.5 milliliters of triethylamine, 445 milligrams of dimethylchlorophenol, 0.69 grams of chlorhexidine, 40 milliliters of water, and 10 milliliters of isopropanol. The coated substrate was then rinsed under running distilled water for 3 minutes.

[0080] The coated substrate was then incubated in the 1% KI:I₂ solution as prepared in Example 8 at room temperature for 10 minutes. The substrates were water rinsed until the washings were colorless. The rinsed substrates were air dried for 2 hours, and then stored in a petri dish wrapped with aluminum foil to avoid exposure to light.

Example 16

Preparation of a Substrate Having A Crosslinked Polyamide Coating Including A Biguanide, A Substituted Phenol And A Gentian Violet Compound

5 **[0081]** A 3% solution of the polyamide with side chains as prepared in the Control Example was prepared in isopropanol. A polymer coating solution was then prepared by mixing 110 milliliters of the 3% solution of the polyamide, 4 milliliters of a 50 mg./ml. hydroquinone in isopropanol solution, 1 milliliter of 50 mg./ml. Triton™ X-100 brand non-ionic surfactant in isopropanol, 3 milliliters of
10 50% glutaraldehyde, 0.4 milliliters of triethylamine, and 0.4 milliliters of 80% tetrakis(hydroxymethyl)- phosphonium chloride. A surface modified substrate as prepared in the Control Example was then dipped in the polymer coating solution and heated by hot air at 120°-150°C for 1 minute.

15 **[0082]** The coated substrate was then incubated at room temperature for 30 minutes in a solution of 1 milliliter of 80% tetrakis(hydroxymethyl)- phosphonium chloride, 1 milliliter of triethylamine, 220 milligrams of dimethylchlorophenol, 360 milligrams of chlorhexidine, 55 milligrams of hydroquinone, 80 milliliters of water, and 20 milliliters of isopropanol. The coated substrate was then heat gun dried at 120°-150°C for 1 minute and rinsed under running distilled water for 1 minute.
20 The coated substrate was then incubated in a solution of 50 milliliters of 0.3% dimethylgentian violet and 0.1 milliliters of tris(hydroxymethyl)-phosphine at room temperature for 2 hours. The substrates were water rinsed until the washings were colorless, and air dried.

Example 17 - Antimicrobial Activity Assay

25 **[0083]** The selection of target microorganisms for examining the antimicrobial activity of the coated polydimethylsiloxane substrates produced in the Control Example and Examples 1-16 was based on the potential human pathogenic microorganisms, which include gram positive bacteria, *Bacillus subtilis* and
30 *Staphylococcus aureus*; gram negative bacteria, *E. coli* and *Pseudomonas aeruginosa*; and yeast, *Candida albicans*. Broth cultures were prepared for each microorganism. Bacteria, *Bacillus subtilis*, *Staphylococcus aureus*, *E. coli* and *Pseudomonas aeruginosa* were grown in 50 milliliters of sterile tryptic soy broth in

a 500 milliliter baffle flask at 200 rpm. The cultures were grown at 37°C overnight, and used for antimicrobial activity assay plates and stock culture. The yeast, *Candida albicans* was cultivated in 50 milliliters of sterile yeast malt broth in a 250 milliliter baffle flask at 25-28°C for 1 day and used for the activity assay plates and stock culture.

[0084] Antimicrobial activity assay plates were then prepared. Yeast malt agar and tryptic soy agar were autoclaved for 20 minutes. The sterile molten agar was allowed to cool to 42-45°C. The prepared broth cultures of the target microorganisms were inoculated to a final concentration of 5% (v/v). The broth cultures were mixed to obtain a homogeneous suspension, and a 20 milliliter aliquot of culture mixed agar media was poured into sterile plastic petri dishes. The agar was allowed to solidify, and dishes not to be used immediately were stored at 4°C.

[0085] The coated polydimethylsiloxane substrates produced in the Control Example and Examples 1-18 were placed on the prepared agar plates. After incubation at 30°C for *Candida albicans* and 37°C for the other microorganisms, respectively for 1-2 days, a clear zone of growth inhibition surrounding the coated substrates was visible against a partially opaque background of growth. The degree of inhibition was scored using the following point system shown in Table 1. (When the inhibition zone was hazy, the inhibition grade was ranked one lower degree.) The results are shown in Table 2.

[illegible][illegible]

TABLE 2

Example Tested	Patterns of Linkage	Staphylococcus aureus	Pseudomonas aeruginosa	Candida albicans	E. coli	Bacillus subtilis
Control	N/A	0	0	0	0	0
1	Ionic Bonding	2	1	1	1	3
2	Ionic Bonding	2	1	1	1	2
3	Covalent Bonding	0	0	½	0	½
4	Entrapment	0	0	0	0	½
5	Entrapment	0	0	0	0	0
6	Ionic Bonding	3	2	1	3	3
7	Entrapment	1	1	0	1	1
8	Ionic Bonding	½	0	3	0	1
9	Ionic Bonding	½	0	2	0	1½
10	Covalent Bonding	1	1	0	1	2
11	Covalent Bonding	2	1	1	2	2
12	Covalent Bonding	2	1	½	1	3
13	Covalent Bonding	2	3	1	1½	1
14	Covalent Bonding	1	½	3	1	3
15	Covalent Bonding	1	2	1	2	3
16	Covalent Bonding	½	2	0	0	1

Analysis

[0086] Upon review of the results for the inhibitory activity of the coated polydimethylsiloxane substrates produced in the Control Example and Examples 1-16 against bacterial/microbial growth as shown in Table 2, many trends can be seen. The Control Example (i.e., without active ingredient coatings) showed a negative response in antimicrobial testing. Among the seven antimicrobials tested, chlorhexidine demonstrated high activity, both in the ability to suppress the colonization and its spectrum of action. Moreover, the mode of linkage contributes significantly to the results of the bioassay. For instance, ionic interaction can offer higher concentrations of the active component in the incubation media. Meanwhile, immobilization by entrapping the antimicrobial(s) in the bulk polymer coating is expected to show a more durable time of action, but it is preferred that the concentration of the active ingredient be reduced (compare the results of Examples 6 and 7). The combined use of chlorhexidine and 3,5-dimethyl-4-chlorophenol through covalent bonding (see Examples 10-13) is quite advantageous as it has the positive merit of improved activity versus *Pseudomonas* species. In doing so, the ratio of chlorhexidine and 3,5-dimethyl-4-chlorophenol can be well optimized. As is evident from a comparison with Examples 10-13, the concentration ratio is critical for the best performance.

[0087] Therefore, it can be seen that this work has provided an improved antimicrobial polymeric composition that may be easily applied as a coating to a substrate to provide an article which has excellent antimicrobial properties. The antimicrobial polymeric composition has several coating applications including, without limitation, medical devices.

[0088] Although the present invention has been described in considerable detail with reference to certain embodiments, one skilled in the art will appreciate that the present invention can be practiced by other than the described embodiments, which have been presented for purposes of illustration and not of limitation. Therefore, the scope of the appended claims should not be limited to the description of the embodiments contained herein.